



AyersGTS User Manual (Internet) v3.0

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AyersGTS (Internet)

User Manual

By

Ayers Solutions Limited



## Amendment History

Version	Date	Details
V1.0	20-Mar-04	Initial Copy
V2.0	3-Mar-06	Updated with latest information
V3.0	23-Aug-06	Updated with latest information



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## 1 Introduction

This User Guide provides a step-by-step instruction on how to use Ayers Global Trading System ("AyersGTS") - Web. The objective of designing AyersGTS is to assist users to run their trading businesses in a more effective and efficient way with the following user-friendly Graphics User Interface (GUI) functional modules:

- Login
- Buy/Sell Order
- Update Order
- Cancel Order
- Portfolio Enquiry
- Real Time Stock Quote
- Account Management
- Logout

This user guide consists of following chapters

Chapter	Description
1	Introduction To introduce this user manual
2	About AyersGTS To provides details information on AyersGTS. The system overview and system standards are explained in this chapter
3	Getting Started To show how to login and logout of the system.
4	Order Processing & Account Management To use the functions to input/update/cancel/enquire orders and show client account information
5	Q & A section



## **2 About AyersGTS**

AyersGTS is a securities trading system with comprehensive front-office functionality which can facilitate efficient and effective workflow in the entire trading logistic.

Designed with the most advanced technology, AyersGTS is scalable to suit from small to large range of brokerage houses as well as international firms. Moreover, it can be securely deployed as an Intranet application internally and/or as an Internet application externally.





## AyersGTS User Manual (Internet) v3.0

http://pns2.ayers.com.hk - Internet Securities Trading System - Microsoft Internet Explorer

**Online Trading Platform**  
AYERS Solutions Limited

Buy Sell

Transaction  
Profile Management  
Demo

Logout

HSI 15895.29 ↑ 12.84 (+0.08%) Turn 18.84B

Day High 4.825  
Day Low 4.650  
Open 4.800  
Prev Close 4.800  
Nominal 4.700  
Change \$ -0.100  
Change % -2.08%  
Volume 1.684M  
Turnover 7.894M  
Avg Price 4.6888  
IEP / IEV  
Lot Size 2000  
Spread 0.025 / 0.025  
52wk High 7.8  
52wk Low 3.55  
P/E Ratio 8.208  
Yield 3.404%  
Dividend 0.16  
EPS 0.573  
Shares Issued 611.23M  
Market Capital 2873M  
Currency HKD

BID 4.675  
ASK 4.700

Transaction Log

Link

SINGAMAS CONT 0716

BID Queue ASK Queue

www.aastocks.com

03-03 12:29 \*GOLD AT 569.10-569.60 USD AN OZ AT HONG KONG MORNING CLOSE [AFX] 12:31:04

Welcome! Client (1 Client 1)

Pro. #	Product Name	Quantity	Prev. Close	Value
1	00001 CHEUNG KONG (HOLDINGS) LIMITED	1,218,000	71.75	87,391
2	00005 HSBC HOLDINGS PLC	1,800	124	223
3	00010 HAND LUNG DEVELOPMENT COMPANY	51,000	13.95	711
4	00171 SILVER GRANT INTERNATIONAL IND LTD	20,000	3.85	77
5	00293 CATHAY PACIFIC AIRWAYS LIMITED	500,000	14.35	7,175
6	00857 PETROCHINA COMPANY LIMITED	8,000	4.775	38
7	01097 I-CABLE COMMUNICATIONS LIMITED	-1,000	3	-3
8	02628 CHINA LIFE	13,000	5.2	67

Avail. Cash : -57,110.00  
Credit Limit : 0.00  
Used Limit (O/S Buy) : -172,300.00  
Avail. Limit : -229,410.00

Stock Value (Prev) : 105,240,950.00  
Portfolio (Prev) : 105,183,840.00

- (g) The main window are divided into 3 regions:
- Menu Region – Top Left
  - Real Time Price Quote Region – Top Right
  - Order Journal Region – Bottom



### 3.2 *Change Password*

To change the password, move the mouse to “Profile Management” and then click “Password”.

Change User Password	
Client A/C :	1
Name :	CHAN TAI MING
Old Password :	<input type="text"/>
New Password :	<input type="text"/>
Retype Password :	<input type="text"/>
	<input type="button" value="Update"/>

Input the old password and new password respectively. Make sure that “New Password” and “Retype Password” must be the same. Click “Update” to confirm.

### 3.3 *Logout*

To log out, click the “Logout” button on the Main Window menu or close the window.



## 4 Order Processing & Account Management

### 4.1 Input Order

a) Click "Buy" or "Sell" icon in the main window;

The screenshot displays the AyersGTS Online Trading Platform interface. The main window shows market data for SINGAMAS CONT, including HSI, Day High/Low, Open, Prev Close, Closed, Change \$, Change %, Volume, Turnover, Avg Price, P/E, Dividend, EPS, Shares Issued, Market Capital, and Currency. It also displays a Transaction Log and a Portfolio table. The 'Buy' and 'Sell' buttons are highlighted with a pink circle.

**Market Data:**

HSI	16955.45	↑72.41 (+0.43%)	Turn	24.14B	HSIFSpot	16980	Pre	25
Day High	4.280		BID	4.200	ASK	4.210	SINGAMAS CONT	
Day Low	4.200						0716	
Open	4.280		218K( 20)	42K( 1)	15.39	8127	-1	-3
Prev Close	4.240		24K( 3)	26K( 2)	7409	8165	2437	4039
Closed	4.200		46K( 5)	114K( 3)	8165	2437	4039	+1 +4 +7
Change \$	-0.040		4K( 1)	60K( 2)	2265	8165	1909	-4 5347 9075 8156 4669
Change %	-0.94%		0( 0)	20K( 1)	8174	3419	0318	-5 7216 +5 6081 4685
Volume	2.376M		Transaction Log		8394	2978	-2	-6 +2 5347 +8 3417
Turnover	10.04M		15:59	8000	4.200	9429	4665	8395
Avg Price	4.2255		15:59	10.0K	4.200	1799	2439	6108
PEP / IEV			15:59	4000	4.210	8400	8165	2978
Lot Size	2000		15:58	12.0K	4.210	8400	8499	8147
Spread	0.010 / 0.010		15:57	12.0K	4.210	9428	8165	8408
52wk High	6.9		Link		8719	2316	8188	8155 9047 4668
52wk Low	3.55							
P/E Ratio	7.375							
Yield	4.286%							
Dividend	0.18							
EPS	0.57							
Shares Issued	611.23M							
Market Capital	2567M							
Currency	HKD							

**Transaction Log:**

15:59	8000	4.200	9429	4665	8395	-7	1799	2439	6108	+10
15:59	4000	4.210	8400	8165	2978		0168	8135	+9	+11
15:58	12.0K	4.210	8400	8499	8147		4087	+6	8394	+12
15:57	12.0K	4.210	9428	8165	8408		+3	1638	5517	

**Portfolio:**

Pro..	Product Name	Quantity	Prev. Close	Value (Prev)
1	00001 CHEUNG KONG (HOLDINGS) LIMITED	2,000	88.35	176,700.00
2	00005 HSBC HOLDINGS PLC	4,400	140.3	617,320.00
3	00013 HUTCHISON WHAMPOA LIMITED	4,000	74.15	296,600.00

**Account Summary:**

Avail. Cash :	-61,200.00
Credit Limit :	0.00
Used Limit (O/S Buy) :	-88,350.00
Avail. Limit :	-149,550.00
Stock Value (Prev) :	1,090,620.00
Portfolio (Prev) :	1,029,420.00



- b) Input Order Type <sup>1</sup>, Product (Stock Code), Price <sup>2</sup> and Quantity <sup>3</sup> and click “OK” button to place order; otherwise click “Close” button to cancel the order input;
- c) If you double-click a particular price inside the real time price feed screen <sup>4</sup>, order input screen will be shown and you are only required to input the quantity and then click “OK” to place the order;
- d) If you click a particular stock inside the “Portfolio” tab page, sell order input screen will be shown and you are only required to input the price and then click “OK” to place the order.

Remark:

- 1) For Order Type, please refer to FAQ section;
- 2) If you press “+” inside the “Price” field, it will add 1 price spread into existing price. If you press “-” inside the “Price” field, it will subtract 1 price spread from existing price;
- 3) If you press “.” inside the “Quantity” field, it represents “000”. i.e. to enter 5000000, simply press “5” and then press “.” Twice;
- 4) This feature only applies to client which has subscribed the Finet Real Time Price Feed service;
- 5) Odd lot order input is not allowed for our online service.

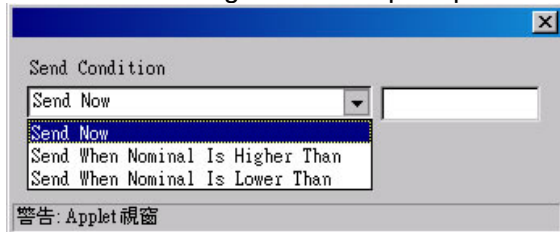


## 4.2 Program Trade

AyersGTS supports simple program trading. At the “Order Input” Screen, click on the condition box as shown below:



A condition setting box will be prompted:

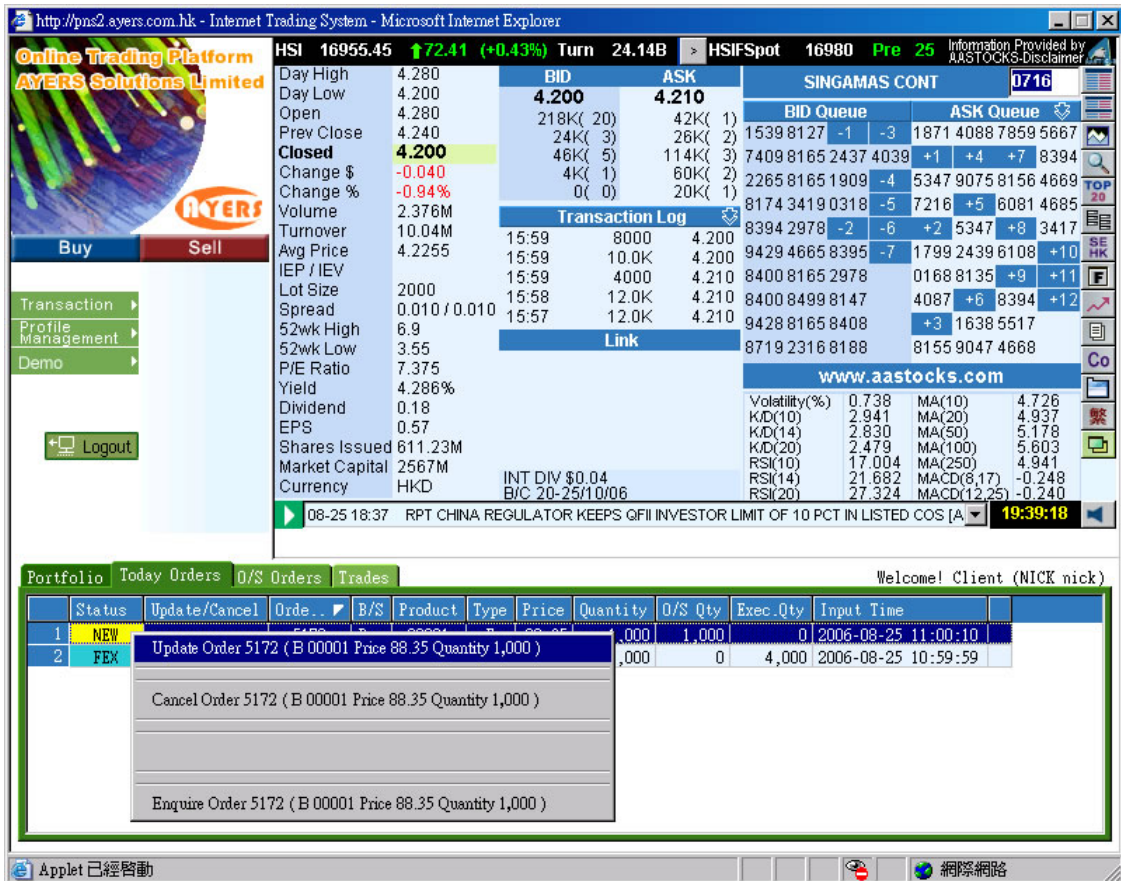


You could set when to send out the order by setting the nominal price higher/lower than target price.



### 4.3 Update Order

- a) Select the "Today Orders" tab page in the main window.
- b) Click the order you want to update, a popup menu will be shown. Click "Update Order"



- c) Order Update screen will be shown, input the new price <sup>1</sup> or new quantity <sup>2</sup> and click "OK" to send the update request <sup>3</sup>.

A screenshot of a web-based application window titled "Buy (Update 1112)". The window has a standard Windows-style title bar with minimize, maximize, and close buttons. The main content area contains the following fields and controls:

- Type:** A dropdown menu with "L-Limit" selected.
- Product:** A text input field containing "00001".
- Price:** A text input field containing "55".
- Quantity:** A text input field containing "5000".

At the bottom of the form area, there are two buttons: "Update Order" and "Close". Below the form area, there is a grey bar containing the text "警告: Applet視窗" (Warning: Applet window).

Remark :

- 1) Note that sending the update request does not mean that the order has been updated successfully.
- 2) If you press "+" inside the "Price" field, it will add 1 price spread into existing price. If you press "-" inside the "Price" field, it will subtract 1 price spread from existing price.
- 3) If you press "." inside the "Quantity" field, it represents "000". i.e. to enter 5000000, simply press "5" and then press "." twice



#### 4.4 Cancel Order

- Select the "Today Orders" tab page in the main window.
- Click the order you want to cancel, a popup menu will be shown. Click the "Cancel Order" menu item to send the order cancel request\*\*\*.

The screenshot shows the AyersGTS Online Trading Platform interface. The top section displays market data for SINGAMAS CONT, including Day High (4.280), Day Low (4.200), Open (4.280), Prev Close (4.240), and Closed (4.200). The interface includes a navigation menu on the left with options like 'Buy', 'Sell', 'Transaction', 'Profile Management', and 'Demo'. A 'Logout' button is also visible. The bottom section displays a 'Today Orders' table with columns for Status, Update/Cancel, Order ID, B/S, Product, Type, Price, Quantity, O/S Qty, Exec. Qty, and Input Time. A popup menu is overlaid on the table, showing options for 'Update Order 5172', 'Cancel Order 5172', and 'Enquire Order 5172'. The status of the selected order is 'CAN'.

- If the status has been changed to "CAN", the order has been cancelled successfully.

Remark\*\*\*: Note that sending the cancel request does not mean that the order has been cancelled successfully. Please enquire the order to ensure whether it has been cancelled or not.



## 4.5 Order Information Enquiry

- Select the "Today Orders" tab page in the main window. All the orders will be shown in the table. Moreover all the order information will be automatically refreshed in real time basis.
- You can sort the orders inside the table according to different column. E.g. Status, Update/Cancel, Order No, Buy/Sell, Product, Price, Quantity, ... For example, to sort all the orders using order no, simply click the "Order No" column once.

The screenshot displays the AyersGTS Online Trading Platform interface. The top section shows market data for HSI (16955.45, +0.43%) and SINGAMAS CONT (0716). Below this, there are sections for 'Transaction Log' and 'Link'. The bottom section features a 'Today Orders' table with the following data:

Status	Update/Cancel	Order No	B/S	Product	Type	Price	Quantity	O/S Qty	Exec. Qty	Input Time
NEW		5172	Buy	00001	E	88.35	1,000	1,000	0	2006-08-25 11:00:10
FEX		5171	Buy	80885	E	140.3	4,000	0	4,000	2006-08-25 10:59:59

- To enquire detailed information of the order, click the order you want, a popup menu will be shown. Click the "Enquire Order" menu item to enquire the order.



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http://pns2.ayers.com.hk - Internet Trading System - Microsoft Internet Explorer

**Online Trading Platform**  
AYERS Solutions Limited

Buy Sell

Transaction  
Profile Management  
Demo

Logout

HSI 16955.45 ↑72.41 (+0.43%) Turn 24.14B > HSIFSpot 16980 Pre 25

Day High 4.280  
Day Low 4.200  
Open 4.280  
Prev Close 4.240  
**Closed 4.200**  
Change \$ -0.040  
Change % -0.94%  
Volume 2.376M  
Turnover 10.04M  
Avg Price 4.2255  
IEP / IEV 15:59  
Lot Size 2000  
Spread 0.010 / 0.010  
52wk High 6.9  
52wk Low 3.55  
P/E Ratio 7.375  
Yield 4.286%  
Dividend 0.18  
EPS 0.57  
Shares Issued 611.23M  
Market Capital 2567M  
Currency HKD

**BID 4.200**  
218K( 20)  
24K( 3)  
46K( 5)  
4K( 1)  
0( 0)

**ASK 4.210**  
42K( 1)  
26K( 2)  
114K( 3)  
60K( 2)  
20K( 1)

**SINGAMAS CONT 0716**

**BID Queue**  
1539 8127 -1 -3  
7409 8165 2437 4039 +1 +4 +7 8394  
2265 8165 1909 -4  
8174 3419 0318 -5 7216 +5 6081 4685

**ASK Queue**  
1871 4088 7859 5667  
5347 9075 8156 4669  
8394 2978 -2 -6 +2 5347 +8 3417  
9429 4665 8395 -7 1799 2439 8108 +10  
8400 8165 2978 0168 8135 +9 +11  
8400 8499 8147 4087 +6 8394 +12  
9428 8165 8408  
8719 2316 8188 8155 9047 4668

**Transaction Log**  
15:59 8000 4.200  
15:59 10.0K 4.200  
15:59 4000 4.210  
15:58 12.0K 4.210  
15:57 12.0K 4.210

**Link**  
8394 2978 -2 -6 +2 5347 +8 3417  
9429 4665 8395 -7 1799 2439 8108 +10  
8400 8165 2978 0168 8135 +9 +11  
8400 8499 8147 4087 +6 8394 +12  
9428 8165 8408  
8719 2316 8188 8155 9047 4668

**www.aastocks.com**  
Volatility(%) 0.738 MA(10) 4.726  
K/D(10) 2.941 MA(20) 4.937  
K/D(14) 2.830 MA(50) 5.178  
K/D(20) 2.479 MA(100) 5.603  
RSI(10) 17.004 MA(250) 4.941  
RSI(14) 21.682 MACD(8,17) -0.248  
RSI(20) 27.324 MACD(12,25) -0.240

08-25 18:37 RPT CHINA REGULATOR KEEPS QFII INVESTOR LIMIT OF 10 PCT IN LISTED COS [A] 19:41:28

Portfolio Today Orders O/S Orders Trades

Welcome! Client (NICK nick)

Status	Update/Cancel	Order	B/S	Product	Type	Price	Quantity	O/S Qty	Exec. Qty	Input Time
1	NEW						1,000	1,000	0	2006-08-25 11:00:10
2	FE	Update Order 5172 (B 00001 Price 88.35 Quantity 1,000)					4,000	0	4,000	2006-08-25 10:59:59
		Cancel Order 5172 (B 00001 Price 88.35 Quantity 1,000)								
		Enquire Order 5172 (B 00001 Price 88.35 Quantity 1,000)								

OrderListExecuted - Microsoft Internet Explorer

### Order Enquiry

Order No : 1114  
Status : NEW - Pending  
Buy/Sell : Buy  
Exchange : HKEX  
Stock Code : 00002 CLP HOLDING LIMITED  
Order Type : L - Price Limit  
Price : 40  
Quantity : 6,000  
Reject Reason :  
Executed Qty : 0  
Input Time : 2004-06-30 15:15:19

### Trade Details

### Amendment History

Update/Cancel	Price	Quantity	Status	Reject Reason	Input Time
Add	40	6,000			2004-06-30 15:15:19



## 4.6 Trade Information Enquiry

- Select the “O/S orders” tab page in the main window and all the today outstanding orders will be shown.
- Select the “Trades” tab page in the main window and all the today filled trades will be shown.

## 4.7 Client Portfolio Enquiry

Select the “Portfolio” tab page in the main window and client portfolio information will be shown.

The screenshot displays the AyersGTS Online Trading Platform interface. The top section shows market data for SINGAMAS CONT, including a bid price of 4.200 and an ask price of 4.210. The interface includes a navigation menu on the left with options like 'Buy', 'Sell', 'Transaction', 'Profile Management', and 'Demo'. The bottom section shows the 'Portfolio' tab, which is circled in pink. The portfolio summary includes:

- Avail. Cash : -61,208.00
- Credit Limit : 0.00
- Used Limit (O/S Buy) : -88,350.00
- Avail. Limit : -149,558.00
- Stock Value (Prev) : 1,090,620.00
- Portfolio (Prev) : 1,029,420.00

The portfolio table below shows the following holdings:

Pro...	Product Name	Quantity	Prev. Close	Value (Prev)
1	00001 CHEUNG KONG (HOLDINGS) LIMITED	2,000	88.35	176,700.00
2	00005 HSBC HOLDINGS PLC	4,400	140.3	617,320.00
3	00013 HUTCHISON WHAMPOA LIMITED	4,000	74.15	296,600.00



### 4.8 Trades

Select the "Trades" tab page in the main window and trades information will be shown.

The screenshot displays the AyersGTS Internet Trading System interface. At the top, it shows the HSI index at 16955.45, up 72.41 (+0.43%), and a turnover of 24.14B. The main window is divided into several sections:

- Market Data:** Shows Day High (4.280), Day Low (4.200), Open (4.280), Prev Close (4.240), and Closed (4.200). It also displays Change \$ (-0.040) and Change % (-0.94%).
- Transaction Log:** A table showing recent transactions with columns for time, quantity, and price.
- Order Book:** Displays BID and ASK prices and quantities, along with a SINGAMAS CONT table.
- Technical Indicators:** Includes Volatility(%), MA(10), MA(20), MA(50), MA(100), MA(250), K/D(10), K/D(14), K/D(20), RSI(10), RSI(14), RSI(20), and MACD(8,17), MACD(12,25).
- News:** A news ticker at the bottom shows "08-25 18:37 RPT CHINA REGULATOR KEEPS QFII INVESTOR LIMIT OF 10 PCT IN LISTED COS [A] 19:43:21".

At the bottom of the interface, there are tabs for "Portfolio", "Today Orders", "O/S Orders", and "Trades". The "Trades" tab is selected and highlighted with a pink circle. Below the tabs is a table showing trade information:

B/S	Product	Product Name	Avg.Cost	Quantity	Amount
1 Buy	00005	HSBC HOLDINGS PLC	140.3	4,000	561,200.00



## 5 Frequently Asked Question (FAQ)

### 1) Any software I need to install if I want to use your online trading service?

In order to access our online trading service, the following component will be required for your machine.

- Microsoft Window 95 or above
- Internet Browser (with SSL support)
  - Microsoft Internet Explorer 5 (or above)
  - Netscape Communicator 6 (or above)
- Java Virtual Machine (Java VM)

Normally Java VM has been pre-installed with Windows. However you can choose to upgrade the existing Java VM to obtain better performance and stability.

To install Microsoft Java VM, you can download it from the following URL  
<https://pns1.ayers.com.hk/install/vm/>

To install Sun Java VM, you can download it from <http://java.sun.com>

### 2) What order types are supported by AyersGTS?

AyersGTS supports all the order types provided by HKEx.

Order Type*	Description*																				
<b>L</b>	<p><b>Limit Order</b></p> <p>This order type allows matching at the specified price queue.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Order</th> <th style="width: 40%;">Matching</th> <th style="width: 30%;">Result</th> </tr> </thead> <tbody> <tr> <td style="vertical-align: top;">           Limit Order             Buy 250K at \$18.0         </td> <td style="vertical-align: top;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: center;">Ask</th> </tr> <tr> <th style="width: 50%;">Price</th> <th style="width: 50%;">Qty</th> </tr> </thead> <tbody> <tr><td style="text-align: center;">\$18.4</td><td style="text-align: center;">90K</td></tr> <tr><td style="text-align: center;">\$18.3</td><td style="text-align: center;">50K</td></tr> <tr><td style="text-align: center;">\$18.2</td><td style="text-align: center;">20K</td></tr> <tr><td style="text-align: center;">\$18.1</td><td style="text-align: center;">130K</td></tr> <tr style="background-color: #e0e0ff;"> <td style="text-align: center;">\$18.0</td> <td style="text-align: center;">30K</td> </tr> </tbody> </table> </td> <td style="vertical-align: top;">           Trades generated: 30K at \$18.0             Outstanding: 220K at \$18.0         </td> </tr> </tbody> </table>	Order	Matching	Result	Limit Order  Buy 250K at \$18.0	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: center;">Ask</th> </tr> <tr> <th style="width: 50%;">Price</th> <th style="width: 50%;">Qty</th> </tr> </thead> <tbody> <tr><td style="text-align: center;">\$18.4</td><td style="text-align: center;">90K</td></tr> <tr><td style="text-align: center;">\$18.3</td><td style="text-align: center;">50K</td></tr> <tr><td style="text-align: center;">\$18.2</td><td style="text-align: center;">20K</td></tr> <tr><td style="text-align: center;">\$18.1</td><td style="text-align: center;">130K</td></tr> <tr style="background-color: #e0e0ff;"> <td style="text-align: center;">\$18.0</td> <td style="text-align: center;">30K</td> </tr> </tbody> </table>	Ask		Price	Qty	\$18.4	90K	\$18.3	50K	\$18.2	20K	\$18.1	130K	\$18.0	30K	Trades generated: 30K at \$18.0  Outstanding: 220K at \$18.0
Order	Matching	Result																			
Limit Order  Buy 250K at \$18.0	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: center;">Ask</th> </tr> <tr> <th style="width: 50%;">Price</th> <th style="width: 50%;">Qty</th> </tr> </thead> <tbody> <tr><td style="text-align: center;">\$18.4</td><td style="text-align: center;">90K</td></tr> <tr><td style="text-align: center;">\$18.3</td><td style="text-align: center;">50K</td></tr> <tr><td style="text-align: center;">\$18.2</td><td style="text-align: center;">20K</td></tr> <tr><td style="text-align: center;">\$18.1</td><td style="text-align: center;">130K</td></tr> <tr style="background-color: #e0e0ff;"> <td style="text-align: center;">\$18.0</td> <td style="text-align: center;">30K</td> </tr> </tbody> </table>	Ask		Price	Qty	\$18.4	90K	\$18.3	50K	\$18.2	20K	\$18.1	130K	\$18.0	30K	Trades generated: 30K at \$18.0  Outstanding: 220K at \$18.0					
Ask																					
Price	Qty																				
\$18.4	90K																				
\$18.3	50K																				
\$18.2	20K																				
\$18.1	130K																				
\$18.0	30K																				
<b>E</b>	<p><b>Enhanced Limit Order (ELO)</b></p> <p>Enhanced limit order is similar to the limit order except that it will allow matching of up to five price queues at a time. The ask order price of ELO can be inputted at four spreads lower than the current bid or the bid order price can be inputted at 4 spreads higher than current ask price. Any unfilled quantity of ELO after matching will be stored in the System as a normal limit order at the input order price. The number of price queues allowed for matching can be increased in future if necessary.</p>																				



**Example 1 : Enhanced Limit Order - fully matched**  
**Table 1 (a) : Original Position**

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.05	80K
\$29.95	90K	\$30.10	70K
\$29.90	80K	\$30.15	160K
\$29.85	60K	\$30.20	50K
\$29.80	180K	\$30.25	60K
\$29.75	34K	\$30.30	50K
\$29.70	100K	\$30.35	40K
\$29.65	150K	\$30.40	45K
\$29.60	18K	\$30.45	325K

Enhanced Limit Order: to buy 400K @ \$30.25

**Table 1 (b) : After Execution**

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.25	20K
\$29.95	90K	\$30.30	50K
\$29.90	80K	\$30.35	40K
\$29.85	60K	\$30.40	45K
\$29.80	180K	\$30.45	325K
\$29.75	34K	\$30.50	20K
\$29.70	100K	\$30.55	30K
\$29.65	150K	\$30.60	50K
\$29.60	18K	\$30.65	60K

Trade executed 80K @ \$30.05  
 70K @ \$30.10  
 160K @ \$30.15  
 50K @ \$30.20  
 40K @ \$30.25

Unfilled None

**Example 2 : Enhanced Limit Order - with unfilled quantity**

**Table 2 (a) : Original Position**

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.05	80K
\$29.95	90K	\$30.10	70K



	\$29.90	80K	\$30.15	160K
	\$29.85	60K	\$30.20	50K
	\$29.80	180K	\$30.25	60K
	\$29.75	34K	\$30.30	50K
	\$29.70	100K	\$30.35	40K
	\$29.65	150K	\$30.40	45K
	\$29.60	18K	\$30.45	325K
Enhanced Limit Order: to buy 430K @ \$30.25				
<b>Table 2 (b) : After Execution</b>				
<b>Bid</b>		<b>Ask</b>		
Price	Volume	Price	Volume	
\$30.25	10K	\$30.30	50K	
\$30.20	–	\$30.35	40K	
\$30.15	–	\$30.40	45K	
\$30.10	–	\$30.45	325K	
\$30.05	–	\$30.50	20K	
\$30.00	100K	\$30.55	30K	
\$29.95	90K	\$30.60	50K	
\$29.90	80K	\$30.65	60K	
\$29.85	60K	\$30.70	70K	
<i>Trade executed</i>		80K @ \$30.05 70K @ \$30.10 160K @ \$30.15 50K @ \$30.20 60K @ \$30.25		
<i>Unfilled</i>		10K @ \$30.25 as limit order		
<b>S</b>	<b>Special Limit Order (SLO)</b>			
	A limit price has to be input for SLO but there is no restriction on the limit price with respect to the best price on the other side of the market. SLO will match up to five price queues (i.e. the best price queue and up to the fifth queue at 4 spreads away) as long as the traded price is not worse than the input limit price. Any unfilled quantity of SLO after matching will be cancelled and not stored in the System. The number of price queues allowed for matching can also be increased in future if necessary.			
	<b>Example 3 : Special Limit Order (SLO)</b>			
	<b>Table 3 (a) : Original Position</b>			
<b>Bid</b>		<b>Ask</b>		
Price	Volume	Price	Volume	



	\$30.00	100K	\$30.05	80K																																												
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<p><i>Special Limit Order: to buy 430K @ \$30.40</i></p>																																																
<p><b>Table 3 (b) : After Execution</b></p> <table border="1"> <thead> <tr> <th colspan="2">Bid</th> <th colspan="2">Ask</th> </tr> <tr> <th>Price</th> <th>Volume</th> <th>Price</th> <th>Volume</th> </tr> </thead> <tbody> <tr> <td>\$30.00</td> <td>100K</td> <td>\$30.30</td> <td>50K</td> </tr> <tr> <td>\$29.95</td> <td>90K</td> <td>\$30.35</td> <td>40K</td> </tr> <tr> <td>\$29.90</td> <td>80K</td> <td>\$30.40</td> <td>45K</td> </tr> <tr> <td>\$29.85</td> <td>60K</td> <td>\$30.45</td> <td>325K</td> </tr> <tr> <td>\$29.80</td> <td>180K</td> <td>\$30.50</td> <td>20K</td> </tr> <tr> <td>\$29.75</td> <td>34K</td> <td>\$30.55</td> <td>30K</td> </tr> <tr> <td>\$29.70</td> <td>100K</td> <td>\$30.60</td> <td>50K</td> </tr> <tr> <td>\$29.65</td> <td>150K</td> <td>\$30.65</td> <td>60K</td> </tr> <tr> <td>\$29.60</td> <td>20K</td> <td>\$30.70</td> <td>70K</td> </tr> </tbody> </table>					Bid		Ask		Price	Volume	Price	Volume	\$30.00	100K	\$30.30	50K	\$29.95	90K	\$30.35	40K	\$29.90	80K	\$30.40	45K	\$29.85	60K	\$30.45	325K	\$29.80	180K	\$30.50	20K	\$29.75	34K	\$30.55	30K	\$29.70	100K	\$30.60	50K	\$29.65	150K	\$30.65	60K	\$29.60	20K	\$30.70	70K
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<b>I</b>	<p><b>At Auction Order</b></p> <p>This is a market order which can only be inputted for single price auction during the pre-open session. There is no need to specify the limit price and it will enjoy a higher auction matching priority. Unfilled at-auction orders will be cancelled after auction matching.</p> <p>The order input period for At-Auction orders is 9:30a.m. - 9:50 a.m.</p> <p>Any At-Auction order input during order matching period (9:50a.m. - 9:58a.m.) and blocking period (9:58a.m. - 10:00a.m.) will be subject to rejection.</p> <p>At-Auction orders input out of the pre-opening session will be treated as orders of next pre-open session unless it was cancelled by investor and</p>																																															



	<p>broker at their own discretion.</p> <p>For further details on placing At-Auction orders, please contact your broker.</p>
<b>A</b>	<p><b>At Auction Limit Order</b></p> <p>It is a limit order with a specified price for single price auction during pre-open session . Unfilled at-auction limit orders, with input price not deviating 9 times or more from the prevailing nominal price, will be converted to limit orders at the input limit price and carried forward to the continuous trading session.</p> <p>The order input period for At-Auction Limit orders is 9:30 a.m. - 9:45a.m.</p> <p>Any At-Auction Limit order input during pre-order matching period (9:45a.m. - 9:50a.m.), order matching period (9:50a.m. - 9:58a.m.) and blocking period (9:58a.m. - 10:00a.m.) will be subject to rejection.</p> <p>At-Auction Limit orders input out of the pre-opening session will be treated as orders of next pre-open session unless it was cancelled by investor and broker at their own discretion.</p> <p>For further details on placing At-Auction orders, please contact your broker.</p>

\* The above information is for reference only and subject to further changes. Please visit HKEx (<http://www.hkex.com.hk>) for more details.

3) What does the order status code mean? (e.g. NEW, WA, ...)

Order Status Code	Description
NEW	The order is pending for processing
WA	The order is waiting for approval
PRO	The order is processing
Q (MWS)	The order has been queued in securities company
Q	The order has been queued in market
PEX	The order has been partially filled
FEX	The order has been filled and completed, check the filled price and quantity of the order
REJ	The order has been rejected

4) What will happen if I place order after market close (i.e. after 4:00 pm)?

All orders placed before 4:30 pm at the trading day will be cancelled. All orders placed after 4:30 pm at the trading day will be treated as next-trading-day orders.